IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Kimoto, Norihiro et al.

Serial No.: Not Yet Assigned

Filed: Concurrently Herewith

For: ALPHA-KETO ACID REDUCTASE, METHOD FOR PRODUCING THE SAME, AND METHOD FOR PRODUCING OPTICALLY ACTIVE ALPHA-HYDROXY ACIDS USING THE SAME

Attorney Docket No.: SHZ-015

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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Date of Signature and of Mail Deposit

By:

Jeanne M. DiGiorgio
Registration No. 41,710

Attorney for Applicants

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants and their Attorney are aware of the following publications and information, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. A copy of each cited publication is enclosed.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other

relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, Applicants understand that the Examiner will make an independent evaluation of the cited publications.

In accordance with 37 CFR §1.97(b)(1), no additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080.

Respectfully submitted,
LAHIVE & COCKFIELD, LLP

Jeanne M. DiGlorgio Registration No. 41,710 Attorney for Applicants

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Date: July 15, 2003

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